

Approved for use through 10/31/2002. OMB 0651-0031

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|                             |              |
|-----------------------------|--------------|
| <b>Application Number</b>   | 10/809,654   |
| <b>Filing Date</b>          | 03/25/2004   |
| <b>First Named Inventor</b> | Zhonglin Hao |
| <b>Art Unit</b>             | 1653         |
| <b>Examiner Name</b>        |              |

*(use as many sheets as necessary)*

|       |   |    |   |                        |          |
|-------|---|----|---|------------------------|----------|
| Sheet | 1 | of | 1 | Attorney Docket Number | 00497-08 |
|-------|---|----|---|------------------------|----------|

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| Examiner<br>Initials* | Cite<br>No.1 | Foreign Patent Document |                                 | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>or Relevant Figures Appear | T |
|-----------------------|--------------|-------------------------|---------------------------------|--------------------------------|--|---|---|
|                       |              | Country Code 3          | -Number* -Kind Code* (if known) |                                |  |   |   |
| 9                     | 1            | WO                      | 01/12708                        | 03-09-2000                     | Genentech Inc.                                     |   |   |
|                       | 2            | WO                      | 98/00486                        | 01-08-1998                     | Procter & Gamble -                                 |   |   |
|                       | 3            | WO                      | 99/11293                        | 03-11-1999                     | Human Genome Sciences, Inc.                        |   |   |
|                       | 4            | WO                      | 01/42451                        | 12-07-2000                     | Genset   |   |   |
|                       |              |                         |                                 |                                |  |   |   |
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PTO/SB/08B (10-01)  
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Substitute for form 1449B/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 of 2

### Complete if Known

|                        |              |
|------------------------|--------------|
| Application Number     | 10/809,654   |
| Filing Date            | 03/25/2004   |
| First Named Inventor   | Zhonglin Hao |
| Group Art Unit         | 1653         |
| Examiner Name          |              |
| Attorney Docket Number | 00497-08     |

### OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

| Examiner Initials* | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
|--------------------|-----------------------|---|----------------|
| 1                  | A                     | Naz, Rajesh K: "Application of Sperm Antigens in Immunocontraception", <i>Frontiers in Bioscience</i> 1, e87-95, Sep. 1 (1996).   |                |
|                    | B                     | O'HERN, PATRICIA A. et al: "Reversible Contraception in Female Baboons Immunized with a Synthetic Epitope of Sperm-Specific Lactate Dehydrogenase", <i>Biology of Reproduction</i> , Vol. 52, pp 331-339, (1995).   |                |
|                    | C                     | DATABASE EMBL 'Online!', Accession Number AF203447, 12 Nov. 2000, HAO, Z. et al.; "Homo Sapiens sperm acrosome membrane protein SAMP32, mRNA, complete cds".  |                |
|                    | D                     | DATABASE EMBL 'Online!', Accession Number AW173256, 17 Nov. 1999, NATIONAL CANCER INSTITUTE, CANCER GENOME ANATOMY PROJECT (CGAP): "Homo Sapiens cDNA clone".   |                |
|                    | E                     | DATABASE EMBL 'Online!', Accession Number AA824354, 20 Feb 1998, NATIONAL CANCER INSTITUTE, CANCER GENOME ANATOMY PROJECT (CGAP): "Homo Sapiens cDNA clone".  |                |
|                    | F                     | DATABASE EMBL 'Online', Accession No. AA431165, 25 May 1997, HILLIER, L. ET AL.; "zw7lg10.r1 Soares_testis_NHT Homo sapiens cDNA clone".  |                |
|                    | G                     | DATABASE GENESEQ [Online], Accession No. AAV63171, 12 Jan. 1999, AGOSTINO MJ, et al.; "cDNA from clone cf50_1 which encodes a secreted protein".  |                |
|                    | H                     | DATABASE GENESEQ [ONLINE], Accession No. AAA37094, 8 August 2000, BAKER, K et al.; "Human PRO1418 (UNQ732) cDNA Sequence SEQ ID NO:264".  |                |
|                    | I                     | DATABASE GENESEQ [ONLINE], Accession No. AAW80397, 12 January 1999, AGOSTINO MJ et al; "A Secreted Protein Encoded by Clone cf50_1".  |                |
|                    | J                     | DATABASE GENESEQ [ONLINE], Accession No. AAY99412, 8 August 2000, BAKER, K et al, "Human PRO1418 (UNQ732) Amino Acid Sequence SEQ ID NO:265".   |                |
| 2                  | K                     | CHEN, MICHELLEE S., et al.: "Role of the integrin-associated protein CD9 in binding between sperm ADAM 2 and the egg integrin $\alpha 6 \beta 1$ : Implications for Murine Fertilization", <i>PNAS</i> , Vol 96, No. 21, pp 11830-11835, Oct 12 (1999).         |                |

Examiner  
Signature

Date  
Considered

2-67-00

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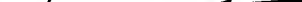
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|   |   |    |   | Examiner Name            |              |
| Sheet   | 2 | of | 2 | Attorney Docket Number   | 00497-08     |

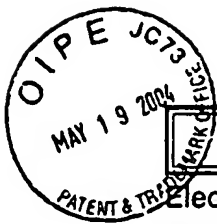
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| Examiner<br>Signature |  | Date<br>Considered | 2-17-06 |
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## ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18  
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| <b>Title of<br/>Invention</b>   | <b>Sperm Specific Proteins</b> |            |            |              |      |          |          |       |          |                                     |   |         |            |              |  |  |  |                                     |   |         |            |              |  |  |  |               |      |   |         |
|---|--------------------------------|------------|------------|--------------|------|----------|----------|-------|----------|-------------------------------------|---|---------|------------|--------------|--|--|--|-------------------------------------|---|---------|------------|--------------|--|--|--|---------------|------|---|---------|
| <p>Application Number: 10/809654 </p> <p>Confirmation Number: 8532</p> <p>First Named Applicant: John Herr</p> <p>Attorney Docket Number: 00497-08</p> <p>Art Unit: 1653</p> <p>Examiner: Not Yet Assigned</p> <p>Search string: ( 5602005 or 5830472 ).pn.</p> <p><b>US Patent Documents</b></p> <p>Note: Applicant is not required to submit a paper copy of cited US Patent Documents</p> <table border="1"><thead><tr><th>init</th><th>Cite.No.</th><th>Patent No.</th><th>Date</th><th>Patentee</th><th>Kind</th><th>Class</th><th>Subclass</th></tr></thead><tbody><tr><td><input checked="" type="checkbox"/></td><td>1</td><td>5602005</td><td>1997-02-11</td><td>Herr, et al.</td><td></td><td></td><td></td></tr><tr><td><input checked="" type="checkbox"/></td><td>2</td><td>5830472</td><td>1998-11-03</td><td>Herr, et al.</td><td></td><td></td><td></td></tr></tbody></table> <p><b>Signature</b></p> <table border="1"><thead><tr><th>Examiner Name</th><th>Date</th></tr></thead><tbody><tr><td></td><td>2-17-06</td></tr></tbody></table> |                                | init       | Cite.No.   | Patent No.   | Date | Patentee | Kind     | Class | Subclass | <input checked="" type="checkbox"/> | 1 | 5602005 | 1997-02-11 | Herr, et al. |  |  |  | <input checked="" type="checkbox"/> | 2 | 5830472 | 1998-11-03 | Herr, et al. |  |  |  | Examiner Name | Date |  | 2-17-06 |
| init  | Cite.No.                       | Patent No. | Date       | Patentee     | Kind | Class    | Subclass |       |          |                                     |   |         |            |              |  |  |  |                                     |   |         |            |              |  |  |  |               |      |   |         |
| <input checked="" type="checkbox"/>   | 1                              | 5602005    | 1997-02-11 | Herr, et al. |      |          |          |       |          |                                     |   |         |            |              |  |  |  |                                     |   |         |            |              |  |  |  |               |      |   |         |
| <input checked="" type="checkbox"/>   | 2                              | 5830472    | 1998-11-03 | Herr, et al. |      |          |          |       |          |                                     |   |         |            |              |  |  |  |                                     |   |         |            |              |  |  |  |               |      |   |         |
| Examiner Name   | Date                           |            |            |              |      |          |          |       |          |                                     |   |         |            |              |  |  |  |                                     |   |         |            |              |  |  |  |               |      |   |         |
|    | 2-17-06                        |            |            |              |      |          |          |       |          |                                     |   |         |            |              |  |  |  |                                     |   |         |            |              |  |  |  |               |      |   |         |

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